ATTORNEY DOCKET: 2003309-0027 (Agilent 10001492-1)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

0300

Applicant:

Sampson

Art Unit:

Not yet assigned

Serial No:

10/052,926

Examiner:

Not yet assigned

Filed:

January 16, 2002

For:

SYNTHESIS AND AMPLIFICATION OF UNSTRUCTURED NUCLEIC

ACIDS FOR RAPID SEQUENCING

Assistant Commissioner for Patents Washington, DC 20231

Sir:

TRANSMITTAL

Enclosed please find the following documents regarding the above-referenced matter:

- 1) Statement Filed Pursuant to the Duty of Disclosure Under 37 C.F.R. §1.56, 1.97;
- 2) PTO-1449;
- 3) Cited Art; and
- 4) Return Postcard.

Please charge any fees or credit any overpayments to our Deposit Account No. 03-1721.

4/16/2002

Brenda Herschbach Jarrell, Ph.D.

Respectfully submitted,

Reg. No.39,223

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Boston, Massachusetts 02109 Telephone: (617) 248-5000 Facsimile: (617) 248-4000

Serial No.: 10/052,926 3387194_1.DOC deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231 on TPVI 17, 2002

Page 1 of 1

Docket No. 2003309-0027 (Agilent 0001492-1)

Legal Department, DL429 Intellectual Property Administration P. O. Box 7599

Loveland, Colorado 80537-0599



PATENT APPLICATION

ATTORNEY DOCKET NO. 10001492-1

PATENT AND TRADEMARK OFFICE

IN THE

Inventor(s): Jeffrey R. Sampson

Serial No.: 10/052,926 Examiner:

Filing Date: Jan 16, 2002

Group Art Unit:

Title:

Synthesis and Amplification of Unstructured Nucleic Acids for Rapid Sequencing

COMMISSIONER FOR PATENTS Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

×) under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
(under 37 CFR 1.97(c) together with either a: () Statement under 37 CFR 1.97(e), or () a \$180.00 fee under 37 CFR 1.17(p), or (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
(under 37 CFR 1.97 (d) together with a: () Statement under 37 CFR 1.97(e), and () a petition under 37 CFR 1.97(d)(2), and () a \$130.00 petition fee set forth in 37 CFR 1.17(i). (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-1078 the sum of $\frac{$0.00}{}$. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-1078 pursuant to 37 CFR 1.25.

- Applicant(s) submit herewith Form PTO 1449 Information Disclosure Citation together with (X)copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.
- () A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no.

Date of Deposit

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

Typed Name:

Respectfully submitted,

By

Jeffrey R. Sampson

Brenda Hersenbach Jarrell

Attorney/Agent for Applicant(s)

Reg. No. 39,223

Date:

Telephone No.: 617 248 5775

PATENT APPLICATION

Sheet 1 of 2

FORM	PTO	-14	49

LIST OF PATENTS AND PUBLICATIONS, FOR APPLICANT'S INFORMATION DISCLOSURE.

STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
10001492-1	10,052,926
APPLICANT	
Jeffrey R. Sampson	
FILING DATE	GROUP
Jan 16,2002	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	6,015,714	01/18/00	Baldarelli et al	436	2
	1B	5,854,033	12/29/98	Lizardi	435	91.2
	1C	5,795,782	08/18/98	Church et al.	436	2
	1D	5,714,320	02/03/98	Kool	435	6
	1E					
	1F					
	1G					
	1H					
	1 I					
	1 J					
	1K					

FOREIGN PATENT DOCUMENTS

 	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
		DATE				YES	NO
1L	WO 99/18241	04/15/99	Lizardi, P.				
1 M	WO 98/32751	07/30/98	Vries, et al.				
1 N	WO 92/17484	10/15/92	Kool, et al.				
 10							
1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	Kanaya, et al., "Template-Directed Polymeriz Biochemistry, 25:7423-7430, 1986.	zation of Oligoadenylates Using Cyanogen Bromide",
	1R	Kasianowicz, et al., "Characterization of Ind Channel", Proc. Natl. Acad. Sci, USA, 93:13	ividual Polynucleotide Molecules Using a Membrane 3770-13773, 1996.
	15	Prakash, et al., "Structural Effects in Recogn Chem. Soc., 114:3523-3527, 1992.	nition of DNA by Circular Oligonucleotides", J. Am
EXAMIN	ER		DATE CONSIDERED

PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449

APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

ATTY. DOCKET NO.	SERIAL NO.
10001492-1	10/052,926
APPLICANT	
Jeffrey R. Sampson	
FILING DATE	GROUP
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(Use several sheets if necessary)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

XAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
2A					
2B					
2C					,
2D					
2E					
2F			***************************************		
2G					ien.
2H					
21					
2.3					
2K					

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	NAME	CLACC	SUB CLASS	TRANSLATION	
 NUMBER			CLASS		YES	NO
2L						
2M						
2N						
20						
2P		<u> </u>				

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	Tessier, et al., "Ligation of Single-Stranded Analytical Biochemistry, 158:171-178, 198	Oligodeoxyribonucleotides by T4 RNA Ligase", 36.	
	2R			
	25			
EXAMINER			DATE CONSIDERED .	